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Revision: 0.9	
Next Review Date: July 2026	

Risk Name:	**Unavailability of professional services from the Approved Professional Person due to contract expiring**	**Revision**	
Plant Area	**Ash Dump**		
Objective/KPI/Focus area			
Risk start Date	**28/04/2026**		
Risk Duration/Age	**0 - 12 Months**		

2. Causes (What may cause the risk to materialise?)	
1	Eskom engineer providing oversight of operations at the ash dams professionally registered but not Approved Professional Person.
2	Procedure developed by Chief Civil Engineer requiring monthly inspections by an APP
3	The finalisation of the approved professional scope and cost estimate was not concluded on time due to difficulty with obtaining market related cost information.
4	No Approved Professional Person oversight at the ash dam.
5	

1. Risk Description (What could occur)

Cessation of operations at the ash dams. The ash dam requires an Approved Professional Person (APP), approved by DWS's dam safety office, to inspect the dam. The contract for that service is expiring end of April 2026.

3. Consequences (What impact may it lead to)	
1	Reflecting red and non compliant on the Generation Compliance Dashboard
2	Structural failures of ash dam
3	Inability to timeously pick up risk and thus, inability to react.
4	Non compliance with the Department of Water and Sanitation compliance requirements for Category III dams and possible CNs.
5	

4. Existing Controls (Current and existing interventions)		**RCE ***	**Control Owner**
1	Contract is currently still running until 30 April 2026.	Mostly Effective	Christine Mosehla
2	Civil Engineer - Dams appointed and has up to 1 year experience on ash dams and not APP registered.	Mostly Ineffective	Christine Mosehla
3	Weekly ash dam inspections and full monthly inspections	Mostly Effective	Ayanda Tsitsa
4			

5. Existing Controls (Current and existing interventions)		**RCE ***	**Control Owner**
5			
6			
7			
8			

Tasks to improve Risk Control Effectiveness (Task specific control)		**Task Owner**	**Dates**		**% completed & Narrated Progress**
1			Start Date		
			Due Date		
2			Start Date		
			Due Date		

Tasks to improve control effectiveness (Task specific control)		**Task Owner**	**Dates**		**% completed & Narrated Progress**
1			Start Date		
			Due Date		
2			Start Date		
			Due Date		

New Treatment Tasks / Opportunities		**Task Owner**	**Dates**		**% completed & Narrated Progress**
1	Place extension of current contract to enable new contract to be placed.	Ayanda Tsitsa	Start Date	**2025/04/24**	
			Due Date	**2025/04/30**	
2	Place new contract.	Christine Mosehla	Start Date	**2025/10/24**	
			Due Date	**08/01/2027**	
3	Implement Skill transfer programme	Ayanda Tsitsa	Start Date	**2025/10/24**	
			Due Date	**2026/06/31**	
4	Revive the PM for ash dam (interval inspection)	Ayanda Tsitsa	Start Date	**2025/10/24**	
			Due Date	**2026/06/24**	
5	Engineer professional registering as APP	Ayanda Tsitsa	Start Date	**2025/10/24**	
			Due Date	**2030/10/24**	

New Treatment Tasks / Opportunities		**Task Owner**	**Dates**		**% completed & Narrated Progress**
6	Submit signed cope of work and technical evaluation to procurement.	Ayanda Tsitsa	Start Date	**01/05/2026**	
			Due Date	**08/05/2026**	
7	Attend 5 CPD points bearing courses annually with direct bearing on dam engineering.	Ayanda Tsitsa	Start Date	**2026/04/24**	
			Due Date	**2027/04/30**	
8			Start Date		
			Due Date		
9			Start Date		
			Due Date		
10			Start Date		
			Due Date		

6. Risk Control Effectiveness *	**7. Consequence Factor ***	**8. Likelihood Factor ***	**9. Current Risk Ranking ***	**10. Potential Exposure * (Refer to latest standard)**	**11.Targeted Risk Rating**	**12.*Targeted Rating Due Date**	**13 Targeted Risk Rating Comments**	**14. Risk Owner ***
Mostly Ineffective	**6**	**B**	II					
Likelihood Justification	Stopping all operations on the ash dam is extreme and has a low chance of occurring.		**Consequence Justification**			If the operations of the ash dam is stopped, the whole station's operations will stop.		

COMPILED BY		**SUPPORTED BY**		**RISK &ASSURANCE**		**RISK OWNER**		**COMPLAINCE OFFICE**	
NAME	Ayanda Tsitsa	**NAME**	Tebatso Menziwa	**NAME**		**NAME**	Christine Mosehla	**NAME**	
DESIGNATION	Civil Engineer - Dam	**DESIGNATION**	Civil Engineer	**DESIGNATION**		**DESIGNATION**	Auxiliary Engineering Manager	**DESIGNATION**	
DATE	28/04/2026	**DATE**	28/04/2026	**DATE**		**DATE**	28/04/2026	**DATE**	
SIGNATURE		**SIGNATURE**		**SIGNATURE**		**SIGNATURE**		**SIGNATURE**	

AUTHORIZED (TPM/GM)	
NAME	
DESIGNATION	
DATE	
SIGNATURE	

ROLE	TASK OWNER	TASK OWNER	TASK OWNER	TASK OWNER	TASK OWNER
NAME & DESIGNATION	Civil Engineer - Ash Dam	Christine Mosehla			
DATE	28/04/2026	28/04/2026			
SIGNATURE					