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|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------|--------------------------------------------|---------------|
|                                                                                                                                                                   | <b>Supplier Quality Management:</b><br><b>List of Tender Returnables Documents</b> | Unique Identifier                          | 240-12248652  |
|                                                                                                                                                                                                                                                    |                                                                                    | Revision                                   | 7             |
|                                                                                                                                                                                                                                                    |                                                                                    | Effective Date                             | 2022/01/26    |
|                                                                                                                                                                                                                                                    |                                                                                    | Specification                              | 240-105658000 |
| Category 3 : Quality Requirements                                                                                                                                                                                                                  |                                                                                    | Deliverables to be evaluated indicator = 1 |               |
| <b>SECTION A : Quality Management System Requirements ISO 9001</b><br><b>Objective evidence of documented QMS that is not certified but complies with ISO 9001</b>                                                                                 |                                                                                    |                                            |               |
|                                                                                                                                                                                                                                                    |                                                                                    | Apply =1                                   |               |
| A.1 QMS Manual or a document that defines and describes the QMS and its scope                                                                                                                                                                      |                                                                                    | 1                                          |               |
| A.2 Quality Policy Approved by top management.                                                                                                                                                                                                     |                                                                                    | 1                                          |               |
| A.3 Quality Objectives Approved by top management.                                                                                                                                                                                                 |                                                                                    | 1                                          |               |
| A.4 Control of documented information (i.e. document and record control)<br>Clause 7.5 of ISO 9001:2015                                                                                                                                            |                                                                                    | 1                                          |               |
| A.5 Documented information for Control of nonconforming outputs<br>Clause 8.7 of ISO 9001:2015                                                                                                                                                     |                                                                                    | 1                                          |               |
| A.6 Documented information for Nonconformity and Corrective action<br>Clause 10.2 of ISO 9001:2015                                                                                                                                                 |                                                                                    | 1                                          |               |
| A.7 Documented information for Internal audit<br>Clause 9.2 of ISO 9001:2015                                                                                                                                                                       |                                                                                    | 1                                          |               |
| Section A Score Option 2                                                                                                                                                                                                                           |                                                                                    | 7                                          |               |
| <b>SECTION B : Evidence of QMS in operation (Tender Quality Requirements -Ref 240-105658000)</b>                                                                                                                                                   |                                                                                    |                                            |               |
|                                                                                                                                                                                                                                                    |                                                                                    | Apply =1                                   |               |
| B.1 Documented information for defined roles, responsibilities and authorities - Organization chart and Responsibility matrix (must include but not limited to quality management function/role)<br>(Clause 5.3 of ISO 9001:2015)                  |                                                                                    | 1                                          |               |
| B.2 Documented information for Control of Externally Provided Processes, Products and Services - Must include criteria for evaluation, selection, monitoring of performance, and re-evaluation of external providers (Clause 8.4 of ISO 9001:2015) |                                                                                    | 1                                          |               |
| Section B Score                                                                                                                                                                                                                                    |                                                                                    | 2                                          |               |
| <b>SECTION C: Contract Quality Plan Requirements (Ref 240-105658000 and 240-109253698).</b><br><b>Draft Contract Quality Plan specific to the scope of work as described in the tender documents (Ref ISO 10005)</b>                               |                                                                                    |                                            |               |
|                                                                                                                                                                                                                                                    |                                                                                    | Apply (Yes=1)                              |               |
| NB! Draft Contract/Project Quality Plan has important QA deliverables                                                                                                                                                                              |                                                                                    | 1                                          |               |
| Section C Score                                                                                                                                                                                                                                    |                                                                                    | 1                                          |               |
| <b>SECTION D: Quality Control Plan Requirements (Ref 240-105658000 or 240-109253302)</b><br><b>QCP /Checklist/ ITP (Quality Control Plans) as per Scope of Works (Ref ISO 10005)</b>                                                               |                                                                                    |                                            |               |
|                                                                                                                                                                                                                                                    |                                                                                    | Apply = 1                                  |               |
| NB! Draft/ Example of an Inspection and Test Plan (ITP) or Quality Control Plan (QCP) on similar and/ or previous work done                                                                                                                        |                                                                                    | 1                                          |               |
| Section D Score                                                                                                                                                                                                                                    |                                                                                    | 1                                          |               |
| <b>SECTION E: User defined additional Requirements &amp; miscellaneous (Ref 240-105658000)</b><br><b>Customer specific requirements &amp; other standards and required can be listed and evaluated here</b>                                        |                                                                                    |                                            |               |
|                                                                                                                                                                                                                                                    |                                                                                    | Apply (Yes=1)                              |               |
| E.1 Form A is completed and signed.                                                                                                                                                                                                                |                                                                                    | 1                                          |               |
| E.2 Add other requirements (if applicable) as per the scope of work and/ or specification                                                                                                                                                          |                                                                                    | 1                                          |               |
| Section E Score                                                                                                                                                                                                                                    |                                                                                    | 2                                          |               |
| NAME OF ESKOM REPRESENTATIVE                                                                                                                                                                                                                       |                                                                                    |                                            |               |
| DATE ISSUED                                                                                                                                                                                                                                        |                                                                                    |                                            |               |
| PROJECT: TENDER TITLE                                                                                                                                                                                                                              |                                                                                    |                                            |               |
| SIGNATURE                                                                                                                                                                                                                                          |                                                                                    |                                            |               |